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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

Declaration
Submitted
With Initial
Filing

OR

Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

STL11361

First Named Inventor

Stanton M. Keeler

COMPLETE IF KNOWN

Application Number

Filing Date

Art Unit

Examiner Name

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MULTI-LEVEL CACHING IN DATA STORAGE DEVICES

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DECLARATION — Utility or Design Patent ApplicationDirect all correspondence to: ☐ Customer Number: OR ☒ Correspondence address below

Name

Kirk A. Cesari, Seagate Technology LLC

Address

1280 Disc Drive

City

Shakopee

State

MN

ZIP

55379

Country

Telephone

1-952-402-3534

Fax

1-952-402-2657

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])

Stanton M.

Family Name

or Surname

Keeler

Inventor's
Signature*Stanton M Keeler*

Date

9/22/03

Residence: City

Longmont

State

CO

Country

US

Citizenship

US

Mailing Address

1156 Columbia Drive

City

Longmont

State

CO

ZIP

80503

Country

US

NAME OF SECOND INVENTOR:☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])

Family Name

or Surname

Inventor's
Signature

Date

Residence: City

State

Country

e

Citizenship

Mailing Address

City

State

ZIP

Country

☒ Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	Stanton Keeler		
Serial No.:		Examiner:	
Filed:	9-24-2003	Group Art Unit:	
Title:	Multi-Level Caching In Data Storage Devices		
Docket:	STL11361		

**POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST
(REVOCATION OF PRIOR POWERS)**

As assignee of record of the entire interest of the above identified application,
REVOCATION OF PRIOR POWERS OF ATTORNEY
 all powers of attorney previously given are hereby revoked and

NEW POWER OF ATTORNEY

the following practitioners/patent agent are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith.

Shawn B. Dempster, Registration No. 34,321	Raghunath S. Minisandram, Registration No. 38,683
Derek J. Berger, Registration No. 45,401	Mitchell K. McCarthy, Registration No. 38,794
Kirk A. Cesari, Registration No. 47,479	Carol I. Bordas, Registration No. 37,284
Paul T. Dietz, Registration No. 38,858	Jennifer M. Buenzow, Registration No. 50,124
David K. Lucente, Registration No. 36,202	Joseph F. Villella, Jr., Registration No. 30,599
Jesus Del Castillo, Registration No. 51,604	Brendan Hanley, Registration No. 52,429

CHANGE OF ATTORNEY'S/AGENT'S ADDRESS IN APPLICATION

Kirk A. Cesari
 Seagate Technology LLC
 Intellectual Property Dept. - SHK2LG
 1280 Disc Drive
 Shakopee, MN 55379-1863
 (952) 402-3534 (telephone)
 (952) 402-2657 (facsimile)

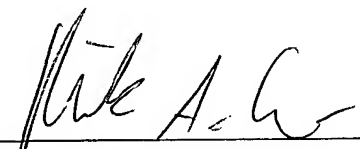
STATEMENT UNDER 37 CFR 3.73(b)

Seagate Technology LLC states that it is the Assignee of Entire Interest in the patent application/patent identified above by virtue of an Assignment from the inventor(s) of the patent application/patent identified above. A copy of the Assignment is attached and/or was recorded in the Patent and Trademark Office at Reel , Frame . The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the Assignee.

Respectfully submitted,

SEAGATE TECHNOLOGY LLC
 (Assignee of Entire Interest)

9-24-2003
 Date


 Kirk A. Cesari, Reg. No. 47,479
 Patent Agent
 SEAGATE TECHNOLOGY LLC
 Intellectual Property Dept. - SHK2LG
 1280 Disc Drive
 Shakopee, MN 55379-1863
 (952) 402-3534 (telephone)
 (952) 402-2657 (facsimile)

PTO/SB/16 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

Express Mail Label No. EV 062764992 US

INVENTOR(S)					
Given Name (first and middle [if any])	Family Name or Surname	Residence (City and either State or Foreign Country)			
Hui Steven S	Su Williams	Longmont, CO Longmont, CO			
<input type="checkbox"/> Additional inventors are being named on the _____ separately numbered sheets attached hereto					
TITLE OF THE INVENTION (500 characters max)					
Fast Disc Write Mechanism in Hard Disc Drives					
Direct all correspondence to: CORRESPONDENCE ADDRESS <div style="display: flex; justify-content: space-between; align-items: center;"> <div style="display: flex; align-items: center;"> <input type="checkbox"/> Customer Number → <div style="border: 1px solid black; padding: 5px; text-align: center; width: 150px;"> Place Customer Number Bar Code Label here </div> </div> <div style="margin-top: 5px;">OR Type Customer Number here</div> </div>					
<input checked="" type="checkbox"/> Firm or Individual Name	Seagate Technology LLC				
Address	Intellectual Property Department - COL2LGL				
Address	389 Disc Drive				
City	Longmont	State	CO	ZIP	80503-0001
Country		Telephone	720-684-2265	Fax	720-684-2588
ENCLOSED APPLICATION PARTS (check all that apply)					
<input checked="" type="checkbox"/> Specification	Number of Pages	<input type="text" value="2"/>	<input type="checkbox"/> CD(s), Number	<input type="text"/>	
<input type="checkbox"/> Drawing(s)	Number of Sheets	<input type="text"/>	<input type="checkbox"/> Other (specify)	<input type="text"/>	
<input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76					
METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <input type="checkbox"/> A check or money order is enclosed to cover the filing fees <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number: 19-1038 <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					FILING FEE AMOUNT (\$) <div style="border: 1px solid black; padding: 5px; width: 80px; margin: 0 auto;">160.00</div>
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government. <input checked="" type="checkbox"/> No. <input type="checkbox"/> Yes, the name of the U.S. Government agency and the Government contract number are: _____					

Respectfully submitted,

SIGNATURE

TYPED or PRINTED NAME Derek J BergerTELEPHONE 720-684-2265Date 07/01/02REGISTRATION NO.
(if appropriate)
Docket Number:45,401STL10927.01**USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT**

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S.D Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Fast Disc Write Mechanism in Hard Disk drives

Over next 4 to 6 years, the interface speed of hard disc drive will be doubled or even tripled. Serial ATA timeline is 150 MB/s at 2002, 300 MB/s at 2004 and 600 MB/s at 2007; SCSI timeline is 640 MB/s at 2004 and 1280 MB/s at 2006. However, because of physical limitation of GMR head and magnetic media, disk data rate will not increase as dramatically as interface speed. There exists a big gap between disk data rate and host interface speed. There are so many mechanisms to improve data reading from media such as caching, pre-fetching, large buffer etc. Compared with data read, disc write is the bottleneck for hard disc drive performance. Disc write will even get worse when data is written onto various parts of the hard disc drive that are at slow access time and low data rate inner zone (Inside Diameter).

This will propose a fast disc write mechanism to improve hard disc drive write performance. Programmable disk space (up to several hundred MB) at OD (Outside Diameter) for fast access time and high data rate is allocated for usage of the fast disk write mechanism. There are some predefined conditions that will invoke the fast disk write, such as file size, file location (OD, ID (Inside Diameter)) and file distribution (one place or multiple palaces). These threshold parameters are programmable also. When the fast disk write mode is on, every time the host sends write data, the disc drive controller will determine whether the file information meets thresholds. If yes, disc drive controller will first write the file to the allocated space, then write file information (PBA or LBA, file size, PBA for allocated space) to non-volatile writeable RAM-based memory, such as Ferroelectric RAM (FRAM), Magnetoresistive RAM (MRAM), etc. When host is not using the hard drive, the data file stored temporally at allocated space will be written to appropriate locations. Through FRAM, MRAM, other non-volatile writeable RAM-based memory, Fast Disc Write Logic block will track the files at allocated space, such as links, the number of outstanding files in allocated space and empty space size left in allocated space, etc. The important and relevant information is stored in FRAM, MRAM or other non-volatile writable RAM-based memories. So information of outstanding files will not be lost when power fails.

Fast Disc Write Logic block will also check for overlaps between new commands from the host and existing data that has been previously placed in the fast write allocated space. When new write commands overlap data in the fast write space, the older data can be discarded or replaced. When the host issues read commands to the disc drive, the Fast Disc Write Logic block will first check the file locations: allocated space or non-allocated space, then help disc formatter to read the file from appropriate location.

In order to keep track of what data is in the fast write space, the hardware will have to maintain a list of "segments" in a format such as this:

Data	Size	
Starting LBA	48 bits	First LBA of this block of data
Valid Sectors	16 bits	Number of consecutive valid sectors
Total Sectors	16 bits	Number of disk sectors allocated to this block

Maintaining the "Total sectors" and "Valid Sectors" entries allows part or all of a given block to be invalidated. Optionally, the data structure could have a "starting offset" being the offset in the reserved fast write area to the first sector of a block. This would make the "Total Sectors" field unnecessary, but could be useful if the list is kept as a linked list (ordered). A third option would be to have separate descriptors for all unused blocks. Hardware automation for searching these blocks for overlaps and or free space when needed should make it feasible to have a large number of entries.